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# United States Patent and Trademark Office

Technology Center 2100, Art Unit 2193 Alexandria, VA. 22313-1450

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Number of Pages (including cover)

From: <u>Todd Ingberg</u>

Phone: (571) 272-3723 DRAFT FAX (571) 273-3723

Official FAX: (571) 273-8300

ph.

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FAX: (650) 493-4549	Phone: ( )	101 62 2 8a0	To: Joseph Sawyer
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Remark(s):
Finalizing
the agenda.
Summary & gravilled

### CONFIDENTIALITY NOTICE

above, and may contain information that is privileged or otherwise confidential under applicable laws. If this of this information by anyone other than the above named recipient is strictly prohibited number listed above and return the original to sender by mail. Any copying, distribution or use of disclosure transmission has reached you in error, please contact the sender by telephone or facsimile at the appropriate This facsimile message and accompanying documents are intended only for the use of the addressee indicated

### Agenda for After Final Interview 10/642,890

**Participants** 

Charlie Bustamante A.K.A. - Munoz-Bustamante, Carlos

### Exhibits for After Final Interview

Proposed amendment & Correspondence will be made of record

Topics

Overview of Invention – Briefly main inventive concepts over known prior art.

Proposed Amendment – How the proposed amendment clarifies the invention over prior art.

### Comment

As usual the 30 minutes is mainly the Applicant's to make statements to add clarity.

### Date and Time

Proposed date and time are confirmed if this agenda is accepted.

TODD INGBERG PTILIZARY EXAMINER

PTOL-419A (09-05) Approved for use through 50/31/2507, OMS 0651-8031

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	Examiner: Todd D. Ingberg	Art Unit: 2183	Status of Ap	plication: Pendi	ng / Final	
	Tentative Participants:		A IL	_ with in the		·········
	(1) Examiner Todd D. Ingberg	(2) Joseph A. Saw	yer, Jr. AMOY	neyv entorv		ettimi,
	(3) Charlie Bustamante Paar	(4) Rod Waiterma	o To	entor"v	8,00	0000000
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uuuaaaa	30,801 Registration Number, if a			nathan daga daga daga daga daga daga daga da	, <b>100</b>	

This collection of information is required by 97 CFR (.133). The information is required to obtain or respin a boundt by the profile which is to file (and by the 98PTC as present) as application. Confidentially is governed by 35 U.S.C. (32 and 37 CFR (.11 and 1.14. This reflection is estimated to take 32 returned to providing profession. Confidentially is governed by 35 U.S.C. (32 and 37 CFR (.11 and 1.14. This reflection is estimated to take 32 returned to account of the providing providing the complete displacement of the USFTO. Time will very depending upon the individual control of the control o

PTOL-413A (09-05) Approved for use through 63/31/2007 OMB 9681-6031
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Applicant Initiated Interview Request Form							
Application No.: 10/642,890 First Named Applicant: Neal R. Caliendo, Jr.							
Examiner: Todd D. Ingberg		Art Unit: 2183					
Tentative Participa	ets:						
(1) Examiner Todd D.	Ingberg	(2) Joseph A. Sawy	er, 3r.	,			
(3) Charlie Bustamania	······	(4) Rod Walterman					
Proposed Date of In	terview: April II	. 2007	Froposed T	ime: 2:00PM cs	(AM/PM)		
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interview. Therefore, 25 2003 25 possible.	applicant is sövis	ed to file a statement (	of the substance of ti	us interview (3:	7 CFR 1.133(b))		
/JOSEPH A. SAWYEI	1 1 1 1 1 1 1 1 1 1 1 1 1 1 1 1 1 1 1						
Applicant/Applica	n's Representati	ve Signause	Exam	iner/SPE Signa	mre		
Joseph A. Sawyer, Ir.							
Typed/Printed Name	of Applicant or	Representative					
30,801 Registration	Number, if appl	icable					
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This collection of intermation is required by 37 CFR 1.131. The information is required to obtain or retain a benefit by the public which is to 88c (and by the BEFFO to prevent) an application. Confidentially is governed by 33 U.S.C. 122 and 37 CFR 1.13 and 1.14. The reflection is enhanced as into 38 retains to complete, including gritarious, proposition, and exhaulting the completed application form to the USFFO. Then will view depending upon the individual term. Any comments on the amount of time year require to complete this locus and/or suggestions for reducing this burden, should be sent to the Calef Information Officer, H.S. Potent and Trademark Office, U.S. Department of Comments, F.C. Sen (450, Alexandria, VA 12113-1430). BO POT SEPID FREE OR COMPLETED PORMS TO THIS ALEXANDERS, SEEND TO: Company for Parisher, P.C. Sen (450, Alexandria, VA 12113-1430).

If you need assistance in completing the form, call 1-300-PTO-9199 and select option 2.

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PYCL-413A (09-06) Approved for use through 0.301/2017, ONS 0851-0031 U.S. Faters and Trademark Office; U.S. DEPARTMENT OF COMMERCE

Applicant Initiated Interview Request Form					
Application No.: 10/6	542,890	First Named Applica	ent: Neal R. Calien	ido, Jr.	
Examiner: Todd D. Ingberg		Art Unit: 2193	Status of Application: Pending / Final		
Tentative Participa	nts:				
(1) Examiner Todd D.	ingberg	(2) Joseph A. Sawyer,	N.	minn	
(3) Charlie Bustamant	0	(4) Rod Walterman	,,,,,		
Proposed Date of Interview: Friday, April 6, 2007 Proposed Time: 1:30 PM (AN/PM)					(ampm)
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Claims 1-12, 14-30, an			*******		
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### IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In Re Application of:

Date: March \_\_\_\_, 2007

Neal R. Caliendo Jr.

Confirmation No.: 3348

Serial No.: 10/642,890

Group Art Unit: 2193

Filed: 08/18/2003

Examiner: Ingberg, Todd D.

For: METHOD FOR PROVIDING AN IMAGE OF SOFTWARE

INSTALLED ON A COMPUTER SYSTEM

Mail Stop Amendment Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

### DRAFT AMENDMENT

Sir:

In response to the Final Office Action dated March 3, 2007, please amend the above-identified application in the following manner:

Amendments to the Claims are reflected in the listing of claims which begins on page 2 of this paper.

Remarks begin on page 12 of this paper.

### Amendments to the Claims

This listing of claims will replace all prior version, and listings, of claims in the application.

### Listing of Claims:

- 1. (Currently amended) A method for providing an image of software installed on a computer system, the method comprising the steps of:
  - (a) deconstructing the image into at least one portion;
- (b) creating at least one module from the at least one portion of the image utilizing information, wherein the information is selected from a group consisting of install information and uninstall information; and
- (e) formatting the at least one module for use in a new image or at least a portion of a new image.
- (Currently amended) The method of claim 1 wherein the deconstructing stop-(a)
   the image further comprises the stops of:
  - (a2) scanning the image; and
  - (e3) identifying at least one portion of the image to be modularized.
- (Currently amended) The method of claim 2 wherein the identifying step (a3) at least one portion comprises the steps of;
  - (e3iii) providing a list of portions of the image to be modularized; and (e3iii) selecting at least one portion of the image to be modularized.

- 4. (Original) The method of claim 1 wherein the at least one portion of the image represents at least one software program.
- (Original) The method of claim 4 wherein the at least one software program is hardware independent.
- 6. (Original) The method of claim 1 wherein the at least one portion of the image represents a plurality of software programs.
- (Original) The method of claim 6 wherein the plurality of software programs
  comprises a combination of hardware-independent and hardware-dependent software programs.
  - 8. (Cancelled)
- 9. (Currently amended) The method of claim 1 wherein the creating step-(b) at least one module further comprises the steps-of:
  - (92) extracting the at least one portion of the image; and
  - (b3) generating the at least one module from the extracted portion of the image.
- 10. (Original) The method of claim 9 wherein the extracted portion of the image comprises uninstall scripts.
- 11. (Currently amended) The method of claim 10 wherein the generating step (63) at least one module comprises the steps of:

- (63ii) scanning the uninstall scripts; and
- (\$344) generating install scripts from the uninstall scripts.
- 12. (Currently amended) The method of claim I I wherein the generating step (b3iii)
  install scripts comprises the steps of:
  - (63ijiA) reversing the order of the uninstall scripts;
  - (634iiib) determining uninstall scripts from the uninstall scripts; and
  - (63iiiG) configuring a portion of the install scripts.
  - 13. (Canceled)
- 14. (Previously presented) The method of claim 1 wherein the software program is hardware-independent application software.
- 15. (Original) The method of claim 14 wherein the hardware-independent application software is a hardware-independent imaging tool.
  - 16. (Original) The method of claim I wherein the module is hardware independent.
- 17. (Currently amended) The method of claim I wherein the creating step-(b) at least one module further comprises the step-of-(b2) creating a plurality of modules from the at least one portion of the image.
  - 18. (Original) The method of claim 17 wherein the plurality of modules comprises

a combination of hardware-independent and hardware-dependent modules.

- 19. (Currently amended) A computer-readable storage medium including a computer program for providing an image of software installed on a computer system, comprising instructions for:
  - (a) deconstructing the image into at least one portion;
- (a) creating at least one module from the at least one portion of the image <u>utilizing</u>

  <u>information</u>, wherein the information is selected from a group consisting of install

  information and uninstall information; and
- (e) formatting the at least one module for use in a new image or at least a portion of a new image.
- 20. (Currently amended) The medium of claim 19 wherein the deconstructing instruction (a) the image further comprises the instructions of:
  - (a2) scanning the image; and
  - (a3) identifying the at least one portion of the image to be modularized.
- 21. (Currently amended) The medium of claim 20 wherein the identifying instructions (e.3) at least one portion comprises the instructions of:
  - (e3ii) providing a list of portions of the image to be modularized; and (e3iii) selecting the at least one portion of the image to be modularized.
- 22. (Original) The medium of claim 19 wherein the at least one portion of the image represents at least one software program.

- (Original) The medium of claim 22 wherein the at least one software program
  is hardware independent.
- 24. (Previously presented) The medium of claim 19 wherein the at least one portion of the image represents a plurality of software programs.
- 25. (Previously presented) The medium of claim 24 wherein the plurality of software programs comprises a combination of hardware-independent and hardware-dependent software programs.
  - 26. (Cancelled)
- 27. (Currently amended) The medium of claim 19 wherein the creating instructions (b) at least one module further comprises the instructions of:
  - (62) extracting the at least one portion of the image; and
  - (43) generating at least one module from the extracted portion of the image.
- 28. (Original) The medium of claim 27 wherein the extracted portion of the image comprises uninstall scripts.
- 29. (Currently amended) The medium of claim 28 wherein the generating instruction (62) at least one module comprises the instructions of:
  - (634) scanning the uninstall scripts; and

(63iii) generating install scripts from the uninstall scripts.

- 30. (Currently amended) The medium of claim 29 wherein the generating installed install scripts comprises the instructions of:
  - (b3iiiA) reversing the order of the uninstall scripts;
  - (53iiiB) determining install scripts from the uninstall scripts; and
  - (63iiiG) configuring a portion of the install scripts.
  - (Cancelled)
- 32. (Previously presented) The medium of claim 19 wherein the software program is a hardware-independent application software.
- 33. (Original) The medium of claim 32 wherein the hardware-independent application software is a hardware-independent imaging tool.
- 34. (Original) The medium of claim 19 wherein the module is hardware independent.
- 35. (Currently amended) The medium of claim 19 wherein the creating instruction (b) at least one module further comprises the instruction of (b2) creating a plurality of modules from the at least one portion of the image.
  - (Previously presented) The medium of claim 35 wherein the plurality of modules

comprises a combination of hardware-independent and hardware-dependent modules.

- 37. (Cancelled)
- 38. (Currently amended) A computer-readable storage medium including a compute program for providing an image of software installed on a computer system, comprising instructions for:
  - (a) deconstructing the image into at least one portion;
- (b) creating the at least one module from the at least one portion of the image <u>utilizing</u>
  uninstall code; and
  - (a) formatting the at least one module for use in at least a portion of a new image.
  - 39. (New) A system comprising:

a storage medium; and

a processing system coupled to the storage medium, the processing system including a mechanism for deconstructing an image into at least one portion; creating at least one module from at least one portion of the image utilizing information wherein the information is selected from a group consisting of install information and uninstall information; and

formatting the at least one module for use in a new image or at least a portion of a new image.

40. (New) The system of claim 39 wherein the deconstructing the image comprises: scanning the image; and

identifying at least one portion of the image to be modularized,

41. (New) The system of claim 40 wherein the identifying at least one portion comprises:

providing a list of portions of the image to be modularized; and selecting at least one portion of the image to be modularized.

- 42. (New) The system of claim 39 wherein the at least one portion of the image represents at least one software program.
- 43. (New) The system of claim 42 wherein the at least one software program is hardware independent.
- 44. (New) The system of claim 39 wherein the at least one portion of the image represents a plurality of software programs.
- 45. (New) The system of claim 44 wherein the plurality of software programs comprises a combination of hardware-independent and hardware-dependent software programs.
- 46. (New) The system of claim 39 wherein the creating at least one module further comprises:

extracting the at least one portion of the image; and generating the at least one module from the extracted portion of the image.

47. (New) The system of claim 46 wherein the extracted portion of the image

comprises uninstall scripts.

48. (New) The system of claim 47 wherein the generating at least one module comprises:

scanning the uninstall scripts; and generating install scripts from the uninstall scripts.

- 49. (New) The system of claim 48 wherein the generating install scripts comprises: reversing the order of the uninstall scripts;

  determining the uninstall scripts from the uninstall scripts; and configuring a portion of the install scripts.
- 50. (New) The system of claim 39 wherein the software program is hardware-independent application software.
- 51. (New) The system of claim 50 wherein the hardware-independent application software is a hardware-independent imaging tool.
  - 52. (New) The system of claim 39 wherein the module is hardware independent.
- 53. (New) The system of claim 39 wherein the creating at least one module further comprises creating a plurality of modules from the at least one portion of the image.

- 54. (New) The system of claim 54 wherein the plurality of modules comprises a combination of hardware-independent and hardware-dependent modules.
  - 55. (New) A system comprising:
  - a storage medium; and

a processing system coupled to the storage medium, the processing system including a mechanism for deconstructing an image into at least one portion; creating at least one module from the at least one portion of the image utilizing uninstall code; and formatting the at least one module for use in a new image or at least a portion of a new image.

- 56. (New) The method of claim 1 wherein the at least one portion of the image comprises an operating system and code, wherein the code is selected from a group consisting of a set of drivers, a set of utilities and application software.
- 57. (New) The medium of claim 19 wherein the at least one portion of the image comprises an operating system and code, wherein the code is selected from a group consisting of a set of drivers, a set of utilities and application software.
- 58. (New) The medium of claim 38 wherein the at least one portion of the image comprises an operating system and code, wherein the code is selected from a group consisting of a set of drivers, a set of utilities and application software.

- 59. (New) The system of claim 39 wherein the at least one portion of the image comprises an operating system and code, wherein the code is selected from a group consisting of a set of drivers, a set of utilities and application software.
- 60. (New) The system of claim 55 wherein the at least one portion of the image comprises an operating system and code, wherein the code is selected from a group consisting of a set of drivers, a set of utilities and application software.

### REMARKS

Claims 1-12, 14-30, and 32-38 are pending in the present application. Claims 1-3, 9, 11-12, 17, 19-21, 27, 29-30, 35 and 37-38 have been amended and no new matter has been added.

Claims 8, 26, and 37 have been cancelled. Claims 13 and 31 have previously been cancelled.

New claims 39-60 have been added. Accordingly, claims 1-7, 9-12, 14-25, 27, 30, 32-36 and 38-60 are now pending in the present application. Applicants find support for the claims generally throughout the specification, specifically on pages 5-14 and in Figures 1-5.

### Present Invention

An improved process for providing an image of software installed on a computer system is disclosed. The process includes the steps of deconstructing an existing image and creating one or more modules from all or part of the image utilizing either install information or uninstall information. To deconstruct the image, the image is scanned to identify at least one portion of the image to be modularized. At least one portion of the image is then extracted, and at least one module is generated from that portion of the image. The module can then be formatted for use in a new image or part of a new image to be used with a particular software program, such as with a hardware-independent imaging tool or with other hardware-independent application software.

An advantage of making an image modular is that it allows hardware-independent software programs (e.g., operating system, commonly used application software) to be abstracted or separated from hardware-dependent software programs (e.g., device drivers, hardware-dependent software). Modules can be added or removed from an image as needed, or can be combined to create new modular-based images.

### Claim Rejections - 35 USC § 102

The Examiner states,

Claims 1-38 are rejected under 35 U.S.C. 102(b) as being anticipated by DERIVE: A Tool That Automatically Reverse-Engineers Instruction Encodings, Dawson R. Engler et al., ACM, 2000, pages 12-22.

DERIVE anticipates a method for providing an image of software installed on a computer system, the method comprising the steps of:

- (a) deconstructing the image into at least one portion (Derive, Abstract, page 1, Reverse Engineering installed software); and
- (b) creating at least one module from the at least one portion of the image (Derive, Conclusion, page 19, instruction encoding and page 22, encoding structure, Figure 5 – emitter specification).
- (c) formatting at least one module for use in a new image or at least a portion of a new image.

Examiner note: When taking the reference as a whole, please, look on page 14 Figure 1 at the information flow for a detailed view. DERIVE solver produces encoding description and the emitter generator feeds the instruction emitter, the presence of JIT is the Just in time Compiler which produces the new image in cooperation with the instruction emitter. Also, please look at the bottom of page 18 one of the last sentences on Linkers "...for only a few specific type of machine-dependent information, derived by feeding appropriate inputs to existing assemblies and linkers." The Linker by definition formats input into images. That is the role of the linker.

Applicants respectfully submit that the independent claims 1, 17 and 37-39 are not anticipated by the DERIVE reference. For ease of review, claim 1 is reproduced below:

1. (Currently amended) A method for providing an image of software installed on a computer system, the method comprising:

deconstructing the image into at least one portion, wherein the at least one portion of the image comprises an operating system and code, wherein the code is selected from a group consisting of a set of drivers, a set of utilities and application software;

creating at least one module from the at least one portion of the image utilizing information, wherein the information is selected from a group consisting of install information and uninstall information; and

formatring the at least one module for use in a new image or at least a portion of a new image.

Applicants submit that DERIVE discloses a method of reverse-engineering instruction encodings from pre-existing software (the system assembler) and uses the information extracted to construct dynamic linking libraries, object-level sandboxers, executable optimizers, and

linkers. Accordingly, DERIVE discloses reverse engineering instructions from software. In contrast, the present invention comprises deconstructing an image into at least one portion. As described in the specification, page 6, lines 11-13, an image comprises an operating system, at least one of a set of drivers, a set of utilities and/or application software. A portion of an image that is deconstructed from an image is clearly different than the reverse engineering of instructions as disclosed in DERIVE. In fact, DERIVE may be used as a portion of the recited invention by reverse engineering specific instructions but it is not capable of deconstructing an image into at least one portion.

In addition, a system in accordance with DERIVE reference copies a program from one instruction set to another instruction set. In contrast, in the present invention a module is created utilizing either install information or uninstall information from the at least one portion.

Therefore, the creating of a module includes performing tasks required to install a new image on another computer system. For example, as stated in the specification at page 9, line 16-page 10, line 16.

"There can be one or more portions and one or more modules generated from each portion depending on the specific application. In the preferred embodiment, the module is generated using uninstall code, also referred to as uninstall "scripts," which are commonly used to remove an installed software program. To generate the module from the uninstall scripts, the uninstall scripts are first scanned/searched and analyzed in reversed order to determine the actions that have taken place to install the software. The uninstall scripts are typically stored in an uninstall file, in a registry, or in the OS software and accessed from a dynamic-link library (DLL). The uninstall scripts typically include data such as application specific actions, decrement reference counts, shared DLL files, removed registry keys, pointers, links, files copied, and/or moved, etc.

The module can then be installed onto a computer system or processed by an imaging tool by using install scripts that correspond to the uninstall scripts. The install scripts can be determined from information from the uninstall scripts in combination with log information related to the OS during an original installation. When a software program is installed under an OS, the OS maintains a log of actions taken during the installation process. For example, the log includes information on changes to the OS software. Such changes can include,

for example, newly shared DLLs reference counts, removed tags, decremented reference counts, etc. Such information can be used to configure the generated install scripts. The install scripts are ascertainable because the install and uninstall procedures are standardized. Accordingly, existing information in the image can be used in a reverse engineering process to create install scripts from the uninstall scripts. The install and uninstall scripts can be stored in a location specified by the user or in a default location such as with the files needed by related software programs."

As seen from the above, the invention as recited in the independent claims as well as the claims dependent thereon are not taught or suggested by the DERIVE reference because the DERIVE reference is directed to reverse engineering or copying of instructions to allow the instructions to be transferred from one instruction set to another instruction. As stated above, the recited invention provides at least a portion of an image which is clearly different from an instruction set. Furthermore, in the recited invention at least one module is created utilizing either uninstall information or install information from at least one portion of an image.

DERIVE neither teaches nor suggests an equivalent process. Accordingly, this cooperation of elements are not taught, suggested or contemplated by the DERIVE reference.

Accordingly, claims 2-12, 14-18, 20-30, 32-36 and claims 40-54 are allowable since they depend from allowable base claims as well as for the above-stated reasons.

### Claim Rejections - 35 USC § 103(a)

The Examiner states,

Cisims 5, 7, 14-16, 18, 23, 25, 32-34 and 36 are rejected under 35 U.S.C. 193(a) as being unpatentable over DERIVE in view of Moduler Type-Based Reverse Engineering of Paremeterized Types in Java Code, Dominic Duggan, ACM, 1939, pages 97-113.

Since, it is not clear if the independent the Applicant is claiming is from the input of the output of reverse angineering the Examiner has siscist to reject the following claims under 35 U.S.C. 103(a).

Bladwiden to Combine DERIVE and JAVA

DERIVE teaches the amilting of C code (DERIVE, page 22). C code is not universally known to be platform independent, it is JAVA who teaches a well known platform independent language. Therefore, it would have been obvious to one of ordinary skill in the art to combine DERIVE and JAVA, because raverse engineering for a language like JAVA which is platform independent by the implementation of a virtual machine, would make a reverse angineering tool more flexible.

Applicant submits that the arguments hereinabove with respect to the DERIVE reference apply with equal force to this rejection since these claims depend from allowable base claims.

The JAVA reference describes a language independent platform but the combination of JAVA reference and the DERIVE reference provides for the reverse engineering of instructions utilizing a language independent platform. For the above-stated reasons, this is clearly different from the invention as recited in the above-identified claims.

Accordingly, claims 5, 7, 14-16, 18, 23, 25, 32-34 and 36 are allowable over the cited references either singly or in combination for the above-cited reasons in the above-identified claims.

### New Claims

Applicants have added a new independent system claim 39 that has similar limitations to that in method and computer readable medium claims 1 and 19.

Accordingly, claim 39 is also allowable over the cited reference for the above-mentioned reasons. Furthermore, claims 40-54 are also allowable since they depend from an allowable base claim as well as for the above-stated reasons.

Independent computer readable medium claim 38 has been amended and new independent system 55 has been added to further define the scope and novelty of the present invention. Specifically both claims specifically recites "creating the at least one module from the at least one portion of the image utilizing uninstall code". Applicants respectfully submit

therefore that these claims are allowable for the same reasons as stated for independent claims 1 and 19.

New dependent claims 56-60 are added to further define the scope and novelty of the present invention.

### Conclusion

For the above-identified reasons, Applicant respectfully requests reconsideration and allowance of claims 1-7, 9-12, 14-25, 27-30, 32-36, and 38-60 as now presented.

Applicant's attorney believes that this application is in condition for allowance. Should any unresolved issues remain, Examiner is invited to call Applicant's attorney at the telephone number indicated below.

Respectfully submitted,
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